

**MKULTRA
Sub-Project 40**

40~1

SECRET

40-2

12 April 1957

MEMORANDUM FOR: THE RECORD

SUBJECT: Return of Funds under MKULTRA
Subproject 40, Invoice No. 3,
Allotment 6-2502-10-001

1. It is hereby noted that \$364.74 obtained under Project
MKULTRA, Subproject 40, Invoice No. 3, is being returned to
Finance.

2. It is requested that Finance credit the above account for
the indicated \$364.74.

[REDACTED] A
TSS/Chemical Division

[REDACTED]
TSS/FASB

Distribution:

Orig & TSS/CD
1 - TSS/FASB
2 - Finance

TSS/CD: [REDACTED] (12 Apr 57)

NUMBER 9154

DATE 15 Apr 1957		THIS WILL ACKNOWLEDGE RECEIPT OF		\$ 364.74
CHECK (V) APPROPRIATE ACCT. (S)		THREE HUNDRED sixty four AND 7400-		DOLLARS
<input checked="" type="checkbox"/> DI. 100.5		FROM		MKULTRA - SUBPROJECT 40
<input type="checkbox"/> Cl. 144.1		FOR		886 - V50Y-10-001-17-9
<input type="checkbox"/> Cl. 144.2		CUSTODIAL SIGNATURE		[REDACTED] A
<input type="checkbox"/> Cl. 140.0				
<input type="checkbox"/> Cl. 140.9				
<input checked="" type="checkbox"/> Cl. 600.1				

Receipt is hereby acknowledged of the loan of the following two books:

Technical Aspects of Chemical Warfare in the Field, Part I
(Project Coordination Staff - April 1946)

Technical Aspects of Chemical Warfare in the Field, Part 2
(Project Coordination Staff - April 1946)

[REDACTED] A
Date: April 3, 1957

40-4

RECEIPT

I hereby acknowledge receipt of the following two checks:

Cashier's Check No. DM 37805, dated September 17, 1956, drawn
on the [REDACTED] in the amount E
of \$26,687.00, payable to the [REDACTED] B

Check No. 9 35938, dated September 24, 1956, drawn on the [REDACTED] E
[REDACTED] of [REDACTED] in the amount of \$1,067.00,
payable to the [REDACTED] B

Date:

October 5, 1956

40-5

10 September 1956

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA: TSS/Budget Officer

SUBJECT: MKULTRA Subproject 40
Invoice No. 5, Allotment 8-2502-10-001

1. Invoice No. 5 for the above subproject is attached. Payment should be made as follows:

Cashier's check in the amount of \$1,067.00, drawn on [REDACTED] E

Cashier's check in the amount of \$20,367.00, drawn on [REDACTED]

Both checks should be made payable to the [REDACTED] B

2. Please forward the checks to Chief, TSS/Chemical Division through TSS/Budget Officer by Tuesday, 2 October 1956.

3. This is a final invoice. A total of \$41,034.74 was obligated under this subproject during FY 56. However since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

SIDNEY GOTTLIEB
Chief
TSS/Chemical Division

Attachments:
Invoice & Certifications

Distribution:

Orig & 2 - Addressee

1 - Comptroller

✓ 1 - TSS/FASB

2 - TSS/CD

TSS/CD: [REDACTED] (10 September 1956)

I CERTIFY THAT THIS IS AN AUTHORIZED
OBIGATION RECEIVED ON 09/27/56
CHARGE TO ALLOWANCE NO. 0-2502-10-001

AUTHORIZING OFFICER

E
CHECK #3985 IN THE AMOUNT OF \$20,367
RECEIVED BY [REDACTED]

H E
CHECK #3985 IN THE AMOUNT OF \$1,067
RECEIVED BY [REDACTED]

MAILED CARRY

A

INVOICE

For services

\$27,734.00

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 5 applying to Sub-project 40 of MKULTRA, that performance is satisfactory, that the services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 40 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

0 40-6
3 July 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA: TSS/Budget Officer

SUBJECT: MKULTRA Subproject 40
Invoice No. 4, Allotment 6-2502-10-001

1. Invoice No. 4 for the above subproject is attached. Payment should be made as follows:

Cashier's check in the amount of \$13,333.00, drawn on a [REDACTED] E

Cashier's check in the amount of \$338.00, drawn on a [REDACTED]

Both checks should be made payable to the [REDACTED] B
[REDACTED]. Other invoices will follow later.

2. Please forward the checks to Chief, TSS/Chemical Division through TSS/Budget Officer by Thursday, 19 July 1958.

[REDACTED] A
Acting Chief
TSS/Chemical Division

Attachments:

Invoice & Certifications
(Orig & 1) E

I CERTIFY THAT FUNDS ARE AVAILABLE.
OBLIGATION REFERENCE NO. 2557
CHARGE TO ALLOC. REF. NO. 6-2502-10-001

Distribution:

Orig & 2 - Addressee

1 - Comptroller

E CHECK #6387 IN THE AMOUNT OF \$13,333.00
RECEIVED 25/7/58

✓ 1 - TSS/FASB
2 - TSS/CD

TSS/CD: [REDACTED] (3 July 1958) E

E CHECK #6387 IN THE AMOUNT OF \$13,333.00
RECEIVED 25/7/58

~~SECRET~~

40-8

INVOICES

For services

\$13,866.00

B

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 4 applying to Sub-project 40 of MKULTRA, that performance is satisfactory, that the services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and rééceipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 40 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the DCI to the DDC/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

40-7

FILE 40

RECEIPT

Receipt is hereby acknowledged of the following two checks:

Official check No. 161268, dated July 17, 1956, drawn on the [REDACTED] in the amount of \$533.00, payable to the [REDACTED] B

Treasurers Check No. 63037, dated July 12, 1956, drawn on the [REDACTED] in the amount of \$13,333.00, payable to the [REDACTED] E B

Date:

25 July 1956

40-8

NO. -3557

2557

6: 2502-10-001

• 744 •

0 ~~SECRET~~ 0 40-9
19 June 1956

MEMORANDUM FOR: COMPTROLLER

ATTENTION: Finance Division

SUBJECT: MKULTRA, Subproject 40, Additional
Authorization No. 3

Under the authority granted in the memorandum dated 13 April 1953, from the DCI to the DD/A and the extension of this authority in subsequent memoranda, Subproject 40 was previously approved. Under the same authority an additional sum of \$41,600 has been authorized to cover the subproject's expenses (thereby bringing to a total of \$41,984,74 funds obligated during F.Y 56), to be charged to Allotment 8-2502-10-001.

SIDNEY GOTTLIEB
Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

Original signed by A

Research Director

Date: JUN 2 L 1956

Distribution:

- Craig & 2 - Addressee
1 - TSS/OC
 1 - TSS/FASB
1 - TSS/SRB
2 - TSS/CD

1 - TSS/OC
1 - TSS/FASB
1 - TSS/SRB
2 - TSS/CD

CHARGE TO ALLOTMENT NO. 8-2502-10-001
AUTHORIZING OFFICER

TSS/CD: [REDACTED] (19 June 1956)

Downgraded to: [REDACTED]
by authority of: 187475
date: June 1977
E2 IMPDET; CL BY 187475

DRAFT: [REDACTED]

18 June 1956

40-10

File # 40

(40)

MEMORANDUM FOR: THE RECORD

SUBJECT: Project MKULTRA, Subproject 40

1. Subproject 40 was established to finance the research of Dr. [REDACTED]. Dr. [REDACTED] has been primarily engaged in evaluating various testing techniques for LSD-type compounds, both in the laboratory and in normal human beings.

2. At the request of TSS/CD, Dr. [REDACTED] has agreed to undertake an entirely new phase of research. Starting 1 July 1956, Dr. [REDACTED] will undertake to investigate the feasibility of utilizing aerosols as a delivery system for various psychochemicals of interest to TSS/CD. Dr. [REDACTED] expects to expend 60 percent of his budget for this investigation.

3. In addition to the above investigation, Dr. [REDACTED] plans to continue his research of the LSD Blocking effect of various tissue extracts. It is planned that the mechanism of this reaction will be more fully explored and the results be applied to human studies. This phase of the overall program is expected to represent 20 percent of the budget.

4. A third phase of Dr. [REDACTED] program will be a continuation of his studies on non-psychotic humans with a view toward maintaining this test group. The primary purpose of this group will be to serve as a human experimental body for items obtained from 2 and 3 above, in addition to ad hoc testing requests emanating from TSS/CD.

The estimated cost of the program, starting 1 July 1956, for a period of 15 months will not exceed \$40,000. To this must be added \$1,600 representing a four percent service charge to the [REDACTED]. Thus the total cost is not expected to exceed \$41,600. Charges should be made against Allotment G-2502-10-001.

5. The principal investigator shall submit to the sponsor once a year an audit of expenditures of monies by a Certified Public Accountant. It is expected that an annual summary accounting will be

Downgraded to: [REDACTED]
by authority of: 187475
date: June 1977
E2 IMPDET; CL BY 187475

Downgraded to: [REDACTED]
by authority of: 187475
date: June 1977

E2 INFDET; CL BY 187475

- 2 -

obtained of funds furnished by the principal investigator to the [REDACTED], signed by an official of that organization. B

6. The principal investigator shall, upon the completion of the program year, return to the sponsor any unexpended funds that have been advanced for the research program.

7. Title to permanent equipment purchased with funds furnished to the principal investigator shall remain with the sponsor, except that title to equipment purchased from funds furnished by the principal investigator to the [REDACTED] shall be retained by that institution in lieu of higher overhead rates. Upon completion of the program the sponsor will instruct the investigator as to the method and mode of disposal of those items of permanent equipment to which the sponsor retains title. The principal investigator will submit an annual inventory of permanent equipment (exclusive of [REDACTED]) when the annual certified accounting is submitted. B

8. Accounting for travel reimbursed by funds administered by the [REDACTED] shall conform with the practices of that organization. Travel expenses paid from funds administered by the principal investigator shall be documented and maintained on file by the principal investigator for a period of two years from the date of travel. Documentation shall be available for inspection by the sponsor. B

9. The existing Memorandum of Agreement that Dr. [REDACTED] has signed will continue to be in force for the period covered by this proposal. C

Sidney Gottlieb
SIDNEY GOTTLIEB
Chief
TSS/Chemical Division

Attachment:

Proposal

APPROVED FOR OBLIGATION OF FUNDS:

[REDACTED] *cf2102*
Research Director

Downgraded to: [REDACTED] Original only
by authority of: 187475
date: June 1977

E2 INFDET; CL BY 187475

*Second
Proposal*

Copy in

(40)

C

June 12, 1956

Dear [REDACTED]:

May I thank you for the interest shown by your Company in the project at [REDACTED] B

should like to enlist your aid, if I may, for continuation of the project along the following lines.

1. Aerosol Studies. The therapy of individuals by means of aerosols is of great significance in medicine. A hitherto unexplored area of aerosols is the delivery of nebulizers using nonaqueous solvents. It is proposed that studies be made of organic materials in non-aqueous solvents and their mixtures with water to determine netulizer delivery over prolonged periods of therapy. (60 per cent of budget).

2. As you know, the blocking of LSD reactions has been claimed by many pharmaceutical houses, although none of these claims have really been substantiated. It is proposed that recent investigations by me on tissue extracts be further explored with a view toward discovering what it is in these extracts that blocks the LSD reaction, keeping in mind possible application of these compounds to experimental and clinical psychoses in man. It is understood that this part of the project will be discontinued December 31, 1956. (20 per cent of budget).

WARNING NOTICE
SENSITIVE INTELLIGENCE
SOURCES AND METHODS INVOLVED

c-447

3. Continuation of studies on non-psychotic humans with a view toward maintaining a test group, as well as to provide experimental work in a frame of reference different from that frame of reference incorporated by items 1. and 2. of the foregoing. However, it shall be the primary purpose of the nonpsychotic test group to test the results obtained by items 1. and 2. (20 per cent of budget).

It is anticipated that the foregoing budget may be amplified by supplementary funds to be received from foundations.

The following is the proposed budget. Owing to the uncertainty of plans for the fiscal year 1956-1957, I should like, if possible, to have the privilege of utilizing the proposed budget to September 30, 1957 rather than to June 30, 1957.

U.S.
WARNING NOTICE
SENSITIVE INTELLIGENCE
SOURCES AND METHODS INVOLVED

Proposed Budget

Salaries	29,950
A. Professional	15,000
1. Principal Investigator	8,500
2. Biophysicist or Physical Chemist	6,500
B. Technical	7,950
1. Research Assistant	4,750
2. Biologist	3,200
C. Secretarial-Editorial	7,000
Expendable Supplies	1,550
Fish, chemicals, glassware, photography, telephone, stationery	
Experiments on Man	4,000
Payment of subjects, food, professional assistance	
Travel	1,000
Overhead	3,500
	40,000

With many thanks and hoping for your
continued support, I am,

Yours sincerely,

WARNING NOTICE
SENSITIVE INTELLIGENCE
SOURCES AND METHODS INVOLVED

SUB-40
40-11

RECEIPT

Receipt of \$10,440.93 from the [REDACTED] representing
a return to the Agency of surplus funds under contract RD-37 from
the Third Party, [REDACTED]

Date:

5/3/56

Rec-2131

B

A

23 April 1956

40-12

MEMORANDUM FOR: CHIEF, FINANCE DIVISION
VIA: TSS/Budget Officer
SUBJECT: MKULTRA Subproject 40, Invoice 3
Allotment 6-2502-10-001

1. Invoice 3 for the above subproject is attached. Payment should be made in the form of cash.
2. The cash should be forwarded to Chief, TSS/Chemical Division, through TSS/Budget Officer, no later than 4 May 1956.
3. This is a final invoice. A total of \$364.74 was obligated under this subproject during FY 56. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

SIDNEY COTTLEES
Chief
TSS/Chemical Division

Attachments:
Invoice & Certifications

Distribution:
Orig & 2 - Addressees
1 - Comptroller

PAID
MAY 1 1956

Mr 886-6001-252-10-01:129, B6474
CASH PAYMENT IN AMOUNT OF \$364.74
RECEIVED, 1 May 1956.

[Redacted]

APR 25 1956
A

INVOICE

For services

\$364.74

B

CERTIFICATIONS

- (1) It is hereby certified that this is Invoice 3 applying to MKULTRA Subproject 40, that performance is satisfactory, that the services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date:

- (2) It is hereby certified that this invoice applies to MKULTRA Subproject 40, which was duly approved, and that the project is being carried out in accordance with the memorandum dated 13 April 1953, from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

40-12

302 /

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- 40 -

6-2502-10-001

ANSWER

17 April 1956

MEMORANDUM FOR: COMPTROLLER
ATTENTION: Finance Division
SUBJECT: MUNTRA, Subproject 40, Additional
Authorization No. 2

Under the authority granted in the memorandum dated 13 April 1953, from the DCI to the DD/A and the extension of this authority in subsequent memoranda, Subproject 40 was previously approved. Under the same authority an additional sum of \$364.74 has been authorized to cover the subproject's expenses (thereby bringing to a total of \$364.74 funds obligated during FY 56), to be charged to Allotment 6-2502-10-001.

SIDNEY COTTLES
Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

Approved [REDACTED] A

I CERTIFY THAT FUNDS ARE AVAILABLE FOR
OBLIGATION AS INDICATED IN 6-2502-10-001
CHARGE TO PAYMENT NO. 6-2502-10-001

AUTHORIZING OFFICER

Research Director

Date: 18 APR 56

Distribution:
Orig & 2 - Addressee

1 - TSS/OC
✓ 1 - TSS/FASB
1 - TSS/SRB
2 - TSS/CD

171
WCB 10 11 03 '56 22

TSS/CD [REDACTED] (17 April 1956)

17 APR 1956

40-14

DRAFT: [REDACTED]

16 April 1956

MEMORANDUM FOR: THE RECORD

SUBJECT: Project MKULTRA, Subproject 40

1. During the period 3 July 1953 to 3 July 1955 Dr. [REDACTED] furnished a total of \$76,420.00 to [REDACTED] Hospital for a portion of the work on an approved MKULTRA activity (see Sub-projects 7 and 23). During this same period the Hospital expended a total of \$84,605.32. From the other phases of Dr. [REDACTED] project there was an unexpended balance of \$7,820.58 during this two-year period. Thus there was a deficit of \$8,185.32 on the [REDACTED] portion and an excess of \$7,820.58 on the remaining portions, leaving a net over-expenditure of \$364.74.

2. [REDACTED] financed its over-expenditure by applying unreturned funds furnished that institution during the year 3 July 1952 to 3 July 1953 under RD-37. The entire over-expenditure occurred during the year 3 July 1954 to 3 July 1955 and occurred because [REDACTED] Hospital included previous unexpended funds in their total balance and included these funds when indicating to our contractor, Dr. [REDACTED], the unexpended balance of funds on hand. The internal difficulty created for ISS/CD is that the RD-37 funds furnished in 1952-53 must be accounted for separately from the MKULTRA funds furnished after 3 July 1953.

3. For security reasons there is no way to recover the funds [REDACTED] Hospital expended in excess of what they received after 3 July 1953. These funds were all expended in legitimate activities in connection with an approved MKULTRA project.

4. A total of \$364.74 of current FY 56 funds is therefore required to compensate for RD-37 funds which were expended against an approved MKULTRA project.

5. A summary of payments and expenditures from 3 July 1952 to 3 July 1955 is attached.

6. The above procedures were approved by Mr. [REDACTED] MKULTRA auditor for 1956, at a meeting attended by Mr. [REDACTED]

~~SECRET~~

- 2 -

[REDACTED] TSS, Dr. [REDACTED] and Mr. [REDACTED] on
2 April 1956.

Sidney Cottlieb
SIDNEY COTTLIEB
Chief
TSS/Chemical Division

Attachment:
Summary of Payments and
Expenditures

APPROVED:

[REDACTED]
[REDACTED]
[REDACTED]
Research Director

18 APR
1956

Original only

~~SECRET~~

Summary of Payments and Expenditures

1. During the year 3 July 1952 to 3 July 1953 a total of \$49,500.00 was paid to [REDACTED] Hospital under RD-37. A total of \$39,059.07 was expended, leaving an unexpended amount of \$10,440.93. Since at the conclusion of this period the funding mechanism was changed, it is necessary to return to the Agency \$10,440.93 earmarked as a return of RD-37 funds.

2. Following 3 July 1953 the activities at [REDACTED] Hospital were included as a portion of projects set up for Dr. [REDACTED]. Funds were provided to Dr. [REDACTED] who in turn provided a portion to [REDACTED] Hospital in the name of the Graning Foundation. See attached table for a summary of these payments and expenditures.

3. Since \$10,440.93 must be returned to RD-37, it will come from the following sources:

Excess from [REDACTED] for 3-year period

\$ 2,255.61

Excess from [REDACTED] for 2-year period

7,820.58

Allotment from MKULTRA

364.74

\$10,440.93

Table

Summary of Payments and Expenditures

<u>Period of Payment to Recipient</u>	<u>Total Expended in Payment Period</u>	<u>Balance for Payment Period</u>	<u>Portion Paid to [REDACTED] in Period</u>	<u>Expenditure by [REDACTED] in Period</u>	<u>Balance for Payment Period</u>
1953 3 July '53 to '54	\$85,150.00	\$81,279.68	\$3,870.32	\$50,250.00	\$49,542.80
1954 3 July '54 to '55	72,660.01	68,709.75	3,950.26	26,170.00	35,062.52
Balance for two-year period					
Excess 52-53		\$10,440.93			
Deficit 53-55				\$185.32	
Excess for 3-yr. period				\$ 2,255.61	
					<u>\$8,185.32 (deficit)</u>

H-40 Approved 21 January 1955

Statement
5-2502-10-001 41,600.00

<u>Inv.</u>	<u>Date</u>	<u>Amount</u>	<u>Balance</u>
1	5/25	13,866.66	27,733.34
2	6/27	27,733.34	-0

April 1956 \$1364.74

<u>Inv.</u>	<u>Date</u>	<u>Bmt</u>	<u>Balance</u>
3	23 Apr	364.74	0

Total Expenditure FY 1955 - \$41,600.00

FY 1956 Funds to be Authorized

Q 40-15

C

December 2, 1955.

Dr.

Dear Sir:

We have examined your check book and bank statements relative to the [REDACTED], and submit herewith a Summary Statement of Cash Receipts and Disbursements for the period from July 4, 1954 to July 3, 1955.

Receipts and disbursements for this fund are maintained in a Special Account with the [REDACTED]

In our opinion, the accompanying Statement of Cash Receipts and Disbursements correctly sets forth all transactions for the Fiscal Year ended July 3, 1955, as well as the unexpended balance on deposit as of July 3, 1955.

Respectfully submitted,

By [REDACTED]
CERTIFIED PUBLIC ACCOUNTANT

DR.

**SUMMARY STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
OF THE [REDACTED]**

FOR THE FISCAL YEAR ENDED JULY 3, 1955

Cash Balance - July 3, 1954	\$ 3,870.32
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Cash Receipts

July 9, 1954	624,220.00
November 17, 1954	16,146.67
January 28, 1955	16,146.67
March 18, 1955	<u>16,146.67</u>

Total Cash Receipts	<u>72,660.01</u>
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	76,530.33
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Cash Disbursements

Transfer of Funds	527,770.00
Hospital	<u>19,000.00</u>
	46,770.00

Fund Expenses

Salary - Dr.	7,999.95
Salaries - Other	1,800.55
Consultation Fees	450.00
Supplies & Equipment Purchased	1,583.83
Cost of Meals, Transportation and other Expenses provided for subjects and Doctors	4,256.17
Telephone	498.00
Expenses in connection with publication of technical papers	2,400.00
Audit Fee	150.00
Malpractice Insurance	<u>77.27</u>
	<u>19,215.77</u>

Total Cash Disbursements	<u>65,985.77</u>
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Cash Balance - July 3, 1955	<u>\$10,544.56</u>
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Note: On September 16, 1955, an additional amount of
\$2,723.93 was transferred to the [REDACTED] B
 for the balance due
 for the period ending July 3, 1955.

40-16

M. D.

C

Summary Statement of Cash Receipts and Disbursements
Special Account

B

July 3, 1955 to January 3, 1956

Cash Balance - July 3, 1955 \$ 7,820.58

Cash Receipts

7/25/55,	<u>C</u>	\$10,000
9/6/55, Reimbursement,	<u>C</u>	158.70
11/12/55,	<u>C</u>	10,000
		20,158.70
		27,979.28

Cash Disbursements

Transfer of Funds

Hospital, Partial	\$15,914.89
Salary,	693.00
	16,607.89

Fund Expenses

Salary, M. D.	4,249.98
Salaries, Other	548.31
Supplies	11.00
Drugs for Experiments	161.30
Subjects' fees and expenses	1,015.05
Reimbursement to [REDACTED]	
[REDACTED] of transportation, meals and cost of running experiments	235.19
Advance on Salary, to [REDACTED]	
(Reimbursed 9/6/55)	158.70
Telephone	185.84
Audit Fee	150.00
	6,715.37

Total Cash Disbursements

23,323.26

Cash Balance - January 3, 1956

\$ 4,656.02

MEMORANDUM RECEIPT

Issue #2, Sub. 40 n

14 JUL

1955

DATE

TO:

FROM:

SUBJECT:

40-17

E I hereby acknowledge receipt of the following:

[REDACTED] CHECK #20415 IN THE AMOUNT OF \$26,666.67
RECEIVED.

B
Client B
C

E [REDACTED] CHECK #2357 IN THE AMOUNT OF \$1,000.00
RECEIVED.

Please return _____ signed copy(ies) of this receipt

C SIGNATURE OF RECIPIENT

To _____
FORM NO. 36-66
MAR 194914 July 55
DATE RECEIVED

RECEIPT

I hereby acknowledge receipt of check #M-201315 dated 11 July 1955
drawn on [REDACTED] in the amount of E
\$26,666.67, payable to the [REDACTED] B

Date: 7-19-55 C

RECEIPT

40-18

I hereby acknowledge receipt of check #H-201315 dated 11 July 1955
drawn on [REDACTED] of [REDACTED] in the amount of **E**
\$26,666.67, payable to the [REDACTED]
[REDACTED]

B

Date:

RECEIPT

I hereby acknowledge receipt of check #G-2357 dated 11 July 1955
drawn on the [REDACTED], in the amount of **E**
\$1,066.67, payable to the [REDACTED]
[REDACTED]

B

Date:

C

40-19

RECEIPT

I hereby acknowledge receipt of check #Q-2357 dated 11 July 1955
drawn on the [REDACTED] in the amount of
\$1,066.67, payable to the [REDACTED]

B

Dated:

40-20

27 June 1955

MEMORANDUM FOR: CHIEF, FINNCE DIVISION

VIA : TSS/Budget Officer

SUBJECT : Project MULTRA, Subproject 40
Invoice 2, Allotment 5-2502-10-001.

1. Invoice No. 2 for Subproject 40 of Project MULTRA is attached. This invoice is the final one to be submitted under Subproject 40, and when paid constitutes complete payment of this project. It is requested that payment be made by two cashier's checks drawn on [REDACTED] one in the amount of \$25,666.67 and the second check for \$1,946.67. Both checks should be payable to the [REDACTED]. The checks should be sent to Chief, TSS/CD, through TSS/Budget Officer no later than 11 July 1955. B

2. The two invoices covering this subproject aggregate \$27,613.00 and represent the total expenditure to be made under this subproject. It is requested, therefore, that the files on Subproject 40 be closed.

SIDNEY GOTTLIEB
Chief
TSS/Chemical Division

E
Attachments: CHECK #24005 IN THE AMOUNT OF \$25,666.67
Invoice & Certifications RECEIVED 14/6/55

Distribution:

Orig & 2 - Addressee
1 - Controller
1 - TSS/FASD
2 - TSS/CD

E
CHECK #24057 IN THE AMOUNT OF \$1,946.67
RECEIVED 14/6/55

160
5-2502-10-001
1 JUL 1955

RECORDED
RECORDED

~~SECRET~~

INVOICE NO. 2

27 June 1955

For services— \$27,733.24

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 2 applying to Subproject 40 of Project EXULINA, that performance is satisfactory, that the services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Date: _____

SIDNEY GOTTLIEB
Chief, TSS/CD

(2) It is hereby certified that this invoice applies to Sub-project 40 under Project EXULINA which was duly approved and that the project is being carried out in accordance with the memorandum dated 13 April 1953 from the DCI to the DIA, and the extension of this authority in subsequent memoranda.

Date: _____

Research Director

40-21

FILE SUB

RECEIPT

Receipt is hereby acknowledged of the following two (2) checks:

Check drawn on the [REDACTED] No. G-1682
in the amount of \$13,333.33, payable to the [REDACTED]

Check drawn on the [REDACTED] No. G-1681
in the amount of \$533.33, payable to the [REDACTED]

(Signature)

Date: 20 May 1955

MEMORANDUM OF AGREEMENT

The purpose of this Memorandum of Agreement is to set forth the mutual administrative responsibilities of the principal research investigator and the sponsor. Therefore it is mutually agreed that,

A. The principal investigator shall submit to the sponsor once a year an audit of expenditures of monies by a Certified Public Accountant and an informal summary statement of expenditures as of the six-month point. It is expected that an annual summary accounting will be obtained of funds furnished by the principal investigator to the [REDACTED] and [REDACTED] Hospital, signed by an official of the organization.

B. The principal investigator shall, upon the completion of the program, return to the sponsor any unexpended funds that have been advanced for the research program.

C. The investigator shall open and maintain a special bank account for receipt of all funds advanced by the sponsor. In addition to this, the investigator shall sign a Declaration of Trust on the special bank account.

D. Title to permanent equipment purchased with funds furnished to the principal investigator shall remain with the sponsor, except that title to equipment purchased from funds furnished by the principal investigator to the [REDACTED] or [REDACTED] Hospital shall be retained by those institutions in lieu of higher overhead rates. Upon completion of the program the sponsor will instruct the investigator as to the method and mode of disposal of those items of permanent equipment to which the sponsor retains title.

E. Accounting for travel reimbursed by funds administered by the [REDACTED] shall conform with the practices of that organization. Travel expenses paid from funds administered by the principal investigator shall be documented and maintained on file by the principal investigator for a period of two years from the date of travel. Documentation shall be available for inspection by the sponsor.

F. Technical reports on research progress will be submitted at periods specified by the sponsor.

Date:

40-23

DECLARATION OF TRUST

KNOW ALL MEN BY THESE PRESENTS:

That I, [REDACTED] C hereby acknowledge and declare that I am possessed of and hold the title or record to the following property and trust:

All monies in the "Special Account" in my name with

[REDACTED] Bank E

for the only benefit and advantage of _____ (hereinafter called the "Owner"), who is vested with legal title to the said property, and the same is to be used by me only for the official business of, and in accordance with directions from, the Owner, and I for myself and my executors, administrators and assigns hereby covenant with the Owner to relinquish the said property to the Owner, or to any third party designated by the Owner, and in the event of my death such request shall be considered to have been made to me prior to the date of my death and shall be binding upon my executors or administrators and assigns without any further demand or acknowledgement; provided that, pending final disposition or exhaustion of the aforesaid property, I shall take all action that may be necessary and proper for prudent management, investment and control of such property in accordance with directions from the Owner.

[REDACTED]

C

40-24

MEMORANDUM RECEIPT

14 June 1955

DATE

TO:

FROM:

SUBJECT:

I hereby acknowledge receipt of the following:

13 333.33

533.33

cb 4 Q 1682

cb 4 Q 1681

E

A

Please return _____ signed copy(ies) of this receipt.

SIGNATURE

14 JUNE 1955

DATE RECEIVED

To

ORM NO. 36-66
AR 1948

40-25

25 May 1955

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA: TSS/Budget Officer

SUBJECT: Subproject 40, Project-MULERA
INVOICE NO. 1, Allotment 5-2802-10-001

Invoice No. 1 for Subproject 40 of Project MULERA is attached. Other invoices will follow. It is requested that payment be made by Cashier's Checks (2) as follows: one in the amount of \$13,333.53 and the other for \$533.33. Both checks should be made payable to the [REDACTED] Payment should be made to [REDACTED] Officer, 100/CD through TSS/Budget Officer by 9 June 1955.

SIDNEY GOTTLIEB
Chief, TSS/Chemical Division

Attachment:
Invoice & certifications

Distribution:
Fig. 42 - Addressee
1 - Controller
 1 - TSS/FASB
2 - TSS/CD

I CERTIFY THAT FUNDS ARE AVAILABLE

CHIEF, FIN. DIVISION - 160
CHIEF, TSS/CD - 5-2802-10-001

~~CONFIDENTIAL~~
SAC-64

INVOICE

For payment of services incurred in connection with MEULTRA
Subproject 40: \$ 13,266.66

SIDNEY GOTTLIES, C/TSS/CD

CERTIFICATIONS

1. It is hereby certified that this is Invoice No. 1 applying to Subproject 40 of Project MEULTRA, that performance was satisfactory, that the services were accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts are on file in TSS/CD, that this bill is just and correct, and that payment thereof has not yet been made.

SIDNEY GOTTLIES, C/TSS/CD

Date:

2. It is hereby certified that this invoice applies to Subproject 40 under Project MEULTRA which was duly approved and the project is being carried out in accordance with the memorandum dated 13 April 1953 from DCI to the DD/C, and the extension of this authority in subsequent memoranda.

~~REDACTED~~ A
Research Director

Date:

Downgraded to: CONFIDENTIAL
by authority of: 187475
Date: June 1977
E2 IMPDET; CL BY 187475

~~TOP SECRET~~

40-26
DRAFT- [REDACTED] A
20 January 1955

MEMORANDUM FOR THE RECORD

SUBJECT: Project MKULTRA, Subproject 40

1. Subproject 40 is being established to finance the research of Dr. [REDACTED] for the period 4 July 1955 to 4 July 1956. The project work will be carried out primarily at [REDACTED] C

2. The work under this subproject will include further clinical and pharmacological studies of LSD and other potential psycho-chemicals, with emphasis on the latter. It will also include antidote and synergy experiments on these chemical materials.

3. This subproject is being activated at this time because the contractor requires a firm commitment in order to definitely assure the necessary laboratory facilities and personnel for the proposed research.

4. The funds for this project will be disbursed by the [REDACTED], for cover purposes. The total amount required for the contractor is \$40,000, as indicated in the attached cost breakdown. The $\frac{1}{3}$ service charge to the [REDACTED] is \$1,600.00. Thus the total amount allotted to this investigation is \$41,600.00.

[REDACTED]
Chemical Division, TSS - A

APPROVED:

[REDACTED]
Chief, Chemical Division, TSS A

Attachment:
Proposal

APPROVED FOR OBLIGATION OF FUNDS:

[REDACTED]
Research Director A

Date: 20 Jan. 1955 -

Downgraded to: CONFIDENTIAL
by authority of: 187475
Date: June 1977

E2 IMPDET; CL BY 187475

40-27

December 27, 1954

Mr. [REDACTED]

Dear [REDACTED]

I was very glad to have the privilege of talking over with you the possibility of continuing research next year. In accordance with our discussion, the project would be set up primarily at [REDACTED] provided that I can make a firm commitment before anyone else gets the space. In addition, Dr. [REDACTED] needs the approval of the executive committee which I believe should be routine since a precedent has been set up during the past summer when normal subjects were used.

As I visualize the project, the commercially important compounds will be studied about half of the research time with the rest of the time devoted to antidote and synergy experiments on man as well as experiments on lower forms. This partition is necessary as we discussed to provide the sanction required by all parties concerned and is contingent upon the following budget:

I. Salaries		31,500
A. Technical		22,000
1. Principal Investigator	8,500	
2. Dr. [REDACTED] C	9,000	
3. Assistant	4,500	
B. Secretarial		5,500
1. Secretary	3,500	
2. Half-time secretary	2,000	
C. Subjects		4,000
II. Expendable Supplies		3,500
III. Travel and Transportation		1,500
IV. Overhead		3,500
		\$40,000

WARNING NOTICE

SENSITIVE INTELLIGENCE

SOURCES AND METHODS INVOLVED

This project is also contingent upon our obtaining all of the equipment from The [REDACTED] Hospital, [REDACTED] some of which could be sold although the resale value of these items is small. In accordance with our conversation I am planning to try to raise additional funds from other sources as well as [REDACTED]. If an additional \$15,000 can be raised from other sources I believe that the study of industrially important compounds can be increased appreciably so that 80 to 90 per cent of the research time can be allocated to these compounds.

At this time, although not directly connected with the foregoing, but indirectly connected with the project as a whole, has been the cooperation which I have received from [REDACTED]. As you know, they have provided me with many compounds and have cooperated in many ways in facilitating the program of the experiments. It has been a natural consequence of this cooperation, especially because of the claims which I have made with their product (reprint enclosed) that these therapeutic claims be broadened to include the use of their product in group therapy. The transcriptions of our data make it appear that certain basic postulates of the use of the drug in group therapy may be obtained by screening the data. I had proposed to [REDACTED] before your expanded 1955-1956 project materialized and at a time when I thought that no project could be planned, that [REDACTED] provide me with a psychologist interested in group therapy to screen the data. I know on the basis of the conversation here that you thought that perhaps this form of support might not be desirable. However, I wonder if it would be wise for me to refuse their support. I do not believe that they would get any closer to the project than they are now. As a matter of fact, the work could be done here in my office and not at [REDACTED].

Indeed, I had visualized having a psychologist doing it in his own home or office since this will be just screening verbatim transcripts. In view of our discussions pertaining to my position in the general field with particular reference to the ease with which I could participate in the exhibits (no clearance) I wonder if it would be wise for me to refuse funds for an investigation of data away from the Biological Laboratory designed to focus attention on therapy.

Meanwhile, I am expecting to receive certain papers from you for Dr. [REDACTED] and Mr. [REDACTED] provided you still feel that we should proceed.

With best personal regards and best New Year's greetings, I am,

Yours sincerely,

M. D.

P. S. Since writing this as you know, I am planning to see [REDACTED]. It has also occurred to me that I could apply to the Public Health Service for a supplementary grant if that is not contra-indicated.

WARNING NOTICE

40-28

20 January 1959

MEMORANDUM FOR: COSTCONTROLLER

ATTENTION: Finance Division

SUBJECT: Project MAULANA, Subproject 40

Under the authority granted in the Memoranda, dated 13 April 1953 from the DCI to the DPA, and the extension of this authority in subsequent memoranda, Subproject 40 has been approved, and \$41,600.00 of the over-all Project MAULANA funds have been obligated to cover the sub-project's expenses and should be charged to Allotment 5-2502-10-001.

SIRRAY GOTTLIEB
Chief
Chemical Division, TSS

APPROVED FOR OBLIGATION OF FUNDS:

██████████ ██████████
Research Director

Date: 1-1-59

I CERTIFY THAT FUNDS ARE AVAILABLE

OBLIGATION REFERENCE NO.

80160

CHARGE TO ALLOTMENT NO.

5-2502-10-001

Distribution:

Addressee - Orig. & 2

JAN 28 1959

AUTHORIZING OFFICER

TSS/OC - 1
TSS/FAOB - 1 ✓
TSS/CD - 2
TSS/SRB - 1

INVOICE CHECK SHEET

NSF LTER Subproject 40

Date of Original Authorization	Period Covered	Fees Extended to	Allocation Number	Amount of Collection
21 Jan 55	4 July 56		5-3502-10-001	41600.00

Additional Authorizations	Period Covered	Time Dis- bursed to	Allotment Number	Amount of Disbursements
18 April 56	-	-	6-2502-10-001	36474
17 Jun 56			6-2222-10-001	41,600.00

REVIEW: _____

40-29

C

~~CERTIFIED PUBLIC ACCOUNTANTS~~

C

October 5, 1956

Dr.

C

Dear Sir:

We have examined your check book and bank statements relative to the [REDACTED] and submit herewith a Summary Statement of Cash Receipts and Disbursements for the period from July 4, 1955 to July 3, 1956. B

Receipts and disbursements for the fund are maintained in a Special Account with the [REDACTED] E

In our opinion, the accompanying statement of Cash Receipts and Disbursements correctly sets forth all transactions for the fiscal year ended July 3, 1956 as well as the unexpended balance on deposit as of July 3, 1956.

Respectfully submitted,

[REDACTED]

By

CERTIFIED PUBLIC ACCOUNTANT

DR [REDACTED]
SUMMARY STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
OF THE [REDACTED] B
FOR THE FISCAL YEAR ENDED JULY 3, 1956

Unexpended Cash Balance - July 3, 1955	\$10,544.56
Accounted for as follows:	
9/16/55 for final appropriation for fiscal year ended July 3, 1955	2,723.98 B
3/21/56 [REDACTED] return of unexpended monies	7,820.58 B
Total as above	10,544.56
Cash Receipts	
July 25, 1955	10,000.00
November 21, 1955	10,000.00
February 21, 1956	10,000.00
April 19, 1956	10,000.00
Total Cash Receipts	40,000.00
Cash Disbursements	
Transfer of funds to the [REDACTED] B	23,100.79
Salary - Dr. [REDACTED] C	8,499.96
Salaries to Others	1,573.60
Technical Aid	405.00
Supplies Purchased	721.42
Cost of Meals, Transportation and other expenses provided for doctors and subjects in connection with various experiments	3,258.18
Telephone	405.15
Audit Fee	150.00
Total Cash Disbursements	38,114.10
Cash Balance - July 31, 1956	\$ 1,885.90

Note: On September 7, 1956, the unexpended balance of \$1,885.90 was transferred to the [REDACTED] B as the final appropriation for the fiscal year ended July 3, 1956.

40-30

CERTIFIED PUBLIC ACCOUNTANTS

January 21, 1958

Dr.

Dear Sir:-

We have examined your check book and bank statements relative to the [REDACTED] and submit herewith a Summary Statement of Cash Receipts and Disbursements for the final period from July 4, 1956 to July 3, 1957. B

Receipts and disbursements for this fund were maintained in a Special Account with the [REDACTED] E

In our opinion, the accompanying Statement of Cash Receipts and Disbursements correctly sets forth all transactions for the fiscal year ended July 3, 1957 as well as the final disposition of the unexpended funds.

Respectfully submitted,

By

Certified Public Accountant

DR. [REDACTED] CO

SUMMARY STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
OF THE [REDACTED]

FOR THE FINAL FISCAL YEAR ENDED
JULY 3, 1957

Unexpended Cash Balance - July 3, 1956

1,885.90

Accounted for as follows:

7/7/56 Transfer of funds to the [REDACTED] for final appropriation for fiscal year ended July 3, 1956

1,885.90

Cash Receipts

August 14, 1956
November 5, 1956
January 18, 1957
April 18, 1957

10,000.00
10,000.00
10,000.00
10,000.00

40,000.00

Total Cash Receipts

Cash Disbursements

Transfer of funds to the [REDACTED]

24,759.99
8,499.96
527.16
135.00
386.85

Salaries - Dr.

Salaries to others

Technical Aid

Supplies Purchased

Cost of meals, transportation and other expenses provided for doctors and subjects in connection with various experiments

Telephone

Audit Fee

Mal Practice Insurance

3,482.20
374.61
300.00
77.24

Total Cash Disbursements

38,543.01

Unexpended Balance

1,456.99

Accounted for as follows:

7/3/57 Transfer to [REDACTED]

1,456.99